



OFFICE of INSPECTOR GENERAL  
NATIONAL RAILROAD PASSENGER CORPORATION

Via Electronic Mail

June 6, 2019

Ms. Emma Best  
c/o MuckRock News  
DEPT MR 74301  
411A Highland Ave  
Somerville, MA 02144-2516  
[74301-83866472@requests.muckrock.com](mailto:74301-83866472@requests.muckrock.com)

Re: Freedom of Information Act Request (Request ID 2019-OIG-013)

Dear Ms. Best:

Amtrak's Office of the Inspector General (OIG) received your May 29, 2019 request for information made under the Freedom of Information Act (FOIA) seeking, *"a copy of the list or printout or database listing of Inspector General investigations closed/reports completed during calendar year 2018."*

In response, our office searched for records responsive to your request and, as a result, we have provided you with a case list of all investigative cases that were closed in calendar year 2018, which includes both preliminary and full investigations, as well as both substantiated and unsubstantiated cases.

The case list provides relevant information pertaining to these investigative cases, such as the case number, case title, the date the case was opened/closed, and the general type of misconduct/allegation as related to each case. All reasonably segregable portions of this case list are enclosed, while redacting/withholding certain information (e.g., the names of certain subjects) pursuant to FOIA Exemption 7(C).

Exemption 7(C) recognizes that law enforcement records are inherently more invasive of privacy than "personnel and medical files and similar files." Named individuals have substantial interests in nondisclosure of their identities and connection to particular investigations. In fact, the case law has long recognized, either expressly or implicitly, that "the mention of an individual's name in a law enforcement file will engender comment and speculation and carries a stigmatizing connotation."

You have the right to file an administrative appeal within 90 days of the date of this letter. By filing an appeal, you preserve your rights under FOIA and give the OIG a chance to

review and reconsider your request and the decision. A copy of your initial request, a copy of this letter, and your statement of circumstances, reasons, and arguments should accompany your letter of appeal. Please address your letter of appeal to:

National Railroad Passenger Corporation  
Office of Inspector General  
10 G Street, NE, 3W-300  
Washington, D.C. 20001  
ATTN: FOIA Appeal

If you would like to discuss our response before filing an appeal to attempt to resolve your dispute without going through the appeals process, you may contact our FOIA Public Liaison for assistance. If you are unable to resolve your FOIA dispute through our FOIA Public Liaison, the Office of Government Information Services (OGIS), the Federal FOIA Ombudsman's office, offers mediation services to help resolve disputes.

We have not assessed any charges to you for processing this request. If you have any questions concerning this response to your request, please contact me.

Sincerely,



Nadine J. Bennett  
Associate Counsel  
National Railroad Passenger Corporation (Amtrak)  
Office of Inspector General

Enclosure

## Closed Investigations 1/1/2018 to 12/31/2018

Case Number	Case Title	Opened Date	Closing Date	Allegations
IL-11-0203-O	Sevier Valley Oil Company	6/27/2011	4/19/2018	Contract and Procurement- Product Substitution/Substandard Work or Materials
PA-13-0118-O	Bayway Lumber, et. al.	12/28/2012	2/26/2018	Contract and Procurement- Kickbacks
MA-14-0363-O	URS Corporation, CH2M Hill, Inc.	5/30/2014	2/22/2018	Contract and Procurement- Cost Mischarging/Defective Pricing
IL-15-0094-HI-O	et. al.	12/15/2014	7/12/2018	Contract and Procurement- Anti-Trust, Bid Rigging/Collusion
IL-15-0232-O		3/11/2015	12/17/2018	Major Misconduct- Drug Investigation
CA-15-0279-O		3/27/2015	1/29/2018	Major Misconduct- Other Crimes on Amtrak Facilities
CA-15-0381-O		5/1/2015	5/2/2018	Major Misconduct- Drug Investigation; Major Misconduct- Failure to Disclose Criminal History
CA-15-0421-HI-O		7/10/2015	7/3/2018	Contract and Procurement- Overbilling
PA-15-0504-HI-O		7/31/2015	7/16/2018	Health Care- Fraudulent Medical Billings
DC-16-0066-HI-O	et. al.	9/30/2015	7/31/2018	Major Misconduct- Violation of Amtrak Policy
DC-16-0118-HI-O	Complaint	11/24/2015	10/19/2018	Major Misconduct- Conflict of Interest
IL-16-0144-O		1/29/2016	3/7/2018	Major Misconduct- Violation of Amtrak Policy
IL-16-0179-O	Banyan Treatment Center, et. al.	2/23/2016	4/30/2018	Major Misconduct- Theft/Embezzlement
MA-16-0187-O	Michael Baker Engineering	4/4/2016	6/29/2018	Health Care- Fraudulent Medical Billings
CA-16-0188-O	IDC Servco	4/11/2016	10/5/2018	Contract and Procurement- Overbilling
IL-16-0189-O	Fraudulent Banking Records-Proactive Nationwide Review	4/19/2016	3/12/2018	Contract and Procurement- Overbilling
IL-16-0230-O	Recovery Associates of the Palm Beaches	5/19/2016	2/1/2018	Major Misconduct- Other Crimes on Amtrak Facilities
DC-16-0292-HI-O		6/24/2016	4/18/2018	Health Care- Fraudulent Medical Billings
HQ-17-0040-O	et. al.	10/27/2016	6/18/2018	Major Misconduct- Relocation Benefit Fraud
CA-17-0045-HI-P		11/3/2016	1/8/2018	Major Misconduct- Unauthorized Disclosure of Information
IL-17-0063-O	LCPM Project	11/18/2016	8/30/2018	Contract and Procurement- Misuse of Contract Funds
DC-17-0067-O	Good Decisions Sober Living (GDSL)	11/29/2016	4/19/2018	Health Care- Fraudulent Medical Billings
MA-17-0068-HI-O		11/29/2016	4/5/2018	Contract and Procurement- Kickbacks
IL-17-0072-HI-O	et. al.	12/2/2016	11/7/2018	Major Misconduct- Conflict of Interest
DC-17-0098-HI-O		1/5/2017	3/6/2018	Major Misconduct- False &A Records
DC-17-0108-O		1/11/2017	5/2/2018	Major Misconduct- Theft/Embezzlement
IL-17-0112-O	Sheets, Benjamin	1/17/2017	3/13/2018	Contract and Procurement- Conflict of Interest; Major Misconduct- Conflict of Interest
IL-17-0165-O		2/28/2017	1/31/2018	Major Misconduct- GSA Fuel Card Fraud
MA-17-0198-HI-O	et. al.	4/4/2017	3/20/2018	Major Misconduct- Abuse of Position
CA-17-0219-HI-O		4/19/2017	7/18/2018	Major Misconduct- Purchase Card Fraud
CA-17-0236-P		5/2/2017	1/18/2018	Major Misconduct- Purchase Card Fraud
CA-17-0237-O		5/2/2017	4/19/2018	Major Misconduct- E-Voucher Fraud
MA-17-0243-O	CAF USA, Inc.	5/8/2017	8/28/2018	Contract and Procurement- False Claims
PA-17-0283-P	et. al.	6/20/2017	3/7/2018	Major Misconduct- Accident Related Investigation
IL-17-0288-HI-O	et. al.	6/26/2017	3/13/2018	Major Misconduct- Violation of Amtrak Policy
PA-17-0291-O		6/28/2017	5/16/2018	Major Misconduct- Violation of Amtrak Policy
IL-17-0300-HI-P	Chicago Glass House Yardmaster   Managers	7/7/2017	1/2/2018	Major Misconduct- Violation of Amtrak Policy
DC-17-0308-HI-P		7/14/2017	2/5/2018	FELA- False Medical Claims
CA-17-0315-HI-P		7/18/2017	5/2/2018	Major Misconduct- Violation of Amtrak Policy
DC-17-0328-O		8/1/2017	8/22/2018	Major Misconduct- Other Crimes on Amtrak Facilities

CC-17-0329-P	APD Cell Phone Assistance Fraudulent Ticket Purchases	8/4/2017	1/17/2018	Major Misconduct- Assisting Law Enforcement
MA-17-0331-HL-P		8/7/2017	1/24/2018	Major Misconduct- Violation of Amtrak Policy
HQ-17-0338-O	Proactive Review of Amtrak Joint Promotional Agreement with Lyft, Inc.	8/9/2017	12/17/2018	Major Misconduct- Conflict of Interest
PA-17-0349-HL-P	et. al.	8/22/2017	4/10/2018	Major Misconduct- Violation of Amtrak Policy
PA-17-0362-HL-P	-Conflict of Interest	8/28/2017	4/12/2018	Major Misconduct- Conflict of Interest
CA-17-0367-HL-O	et. al.	9/5/2017	8/1/2018	Major Misconduct- Violation of Amtrak Policy
DC-17-0375-HL-O	et. al.	9/12/2017	11/30/2018	Major Misconduct- Violation of Amtrak Policy
DC-17-0376-P	et. al.	9/14/2017	1/8/2018	Major Misconduct- Pornography
CA-17-0384-HL-P	et. al.	9/21/2017	3/20/2018	Major Misconduct- Violation of Amtrak Policy
CC-17-0389-O	Compromise of Amtrak Email Accounts	9/25/2017	4/19/2018	Major Misconduct- Computer Fraud
CA-17-0391-HL-O	et. al.	9/28/2017	9/6/2018	Major Misconduct- Non Criminal Complaint
IL-18-0004-HL-O	et. al.	10/4/2017	3/6/2018	Major Misconduct- Violation of Amtrak Policy
CA-18-0007-O	et. al.	10/5/2017	4/9/2018	Major Misconduct- Other Crimes on Amtrak Facilities
DC-18-0008-HL-O	et. al.	10/5/2017	4/19/2018	Major Misconduct- Violation of Amtrak Policy
CC-18-0011-O	et. al.	10/9/2017	4/27/2018	Major Misconduct- Computer Fraud
IL-18-0020-O	et. al.	10/30/2017	3/28/2018	Major Misconduct- Drug Investigation
PA-18-0024-HL-P	American Truck	10/31/2017	1/29/2018	Major Misconduct- Non Criminal Complaint
PA-18-0045-HL-P	et. al.	11/9/2017	3/6/2018	Major Misconduct- Violation of Amtrak Policy
IL-18-0053-HL-P	Preventative Maintenance Facility	11/20/2017	4/20/2018	Major Misconduct- Violation of Amtrak Policy
PA-18-0066-HL-P	et. al.	11/29/2017	5/2/2018	Major Misconduct- Violation of Amtrak Policy
CA-18-0071-P	et. al.	11/30/2017	3/20/2018	Major Misconduct- Violation of Amtrak Policy
DC-18-0094-HL-P	et. al.	12/14/2017	2/27/2018	Major Misconduct- Non Criminal Complaint
DC-18-0113-HL-P	Able Moving and Logistics Inc	12/28/2017	7/5/2018	Contract and Procurement- Misuse of Contract Funds
PA-18-0114-HL-P		12/29/2017	2/13/2018	Major Misconduct- Drug Investigation
IL-18-0131-P		1/11/2018	5/15/2018	Major Misconduct- False Statements
DC-18-0136-HL-P		1/12/2018	2/8/2018	Major Misconduct- Violation of Amtrak Policy
IL-18-0149-P		1/23/2018	8/27/2018	Major Misconduct- Theft/Embezzlement
MA-18-0153-P		1/25/2018	3/12/2018	Major Misconduct- Non Criminal Complaint
PA-18-0154-O		1/29/2018	10/23/2018	Major Misconduct- False T&A Records
DC-18-0162-O		2/1/2018	4/23/2018	Major Misconduct- Failure to Disclose Criminal History
CA-18-0163-HL-P		2/2/2018	6/4/2018	Major Misconduct- Violation of Amtrak Policy
IL-18-0167-HL-P		2/5/2018	7/31/2018	Major Misconduct- False Statements
CC-18-0168-P		2/5/2018	4/10/2018	Major Misconduct- Pornography
DC-18-0171-P	Amtrak Train 91-CSX Collision	2/7/2018	6/5/2018	Major Misconduct- Accident Related Investigation
PA-18-0204-O		3/9/2018	10/24/2018	Major Misconduct- Theft/Embezzlement
IL-18-0205-HL-P		3/9/2018	4/30/2018	Major Misconduct- Violation of Amtrak Policy
PA-18-0209-P		3/13/2018	4/12/2018	Major Misconduct- Theft/Embezzlement
PA-18-0215-O		3/20/2018	7/19/2018	Major Misconduct- GSA Fuel Card Fraud
CA-18-0222-HL-P		3/26/2018	8/27/2018	Major Misconduct- Violation of Amtrak Policy
DC-18-0238-HL-P-O		4/5/2018	11/7/2018	Major Misconduct- Violation of Amtrak Policy
CA-18-0256-P		4/19/2018	10/3/2018	Major Misconduct- Theft/Embezzlement
MA-18-0264-HL-P		4/24/2018	8/7/2018	Major Misconduct- Violation of Amtrak Policy
CC-18-0266-P	Alleged Amtrak Email Compromise	4/24/2018	12/17/2018	Major Misconduct- Computer Fraud
HQ-18-0270-P	Amtrak Train 86 Employee Strike	4/25/2018	6/14/2018	Major Misconduct- Accident Related Investigation
IL-18-0275-O	Champion Fitness	4/27/2018	5/15/2018	Health Care- Fraudulent Medical Billings
CA-18-0284-HL-O		5/2/2018	10/3/2018	Major Misconduct- Violation of Amtrak Policy
HQ-18-0302-O		5/10/2018	10/8/2018	Major Misconduct- Conflict of Interest
IL-18-0307-P		5/15/2018	8/10/2018	Major Misconduct- Theft/Embezzlement
PA-18-0308-O	et. al.	5/16/2018	11/30/2018	Major Misconduct- False T&A Records
CC-18-0318-O	APD Assist of Unattended Injury on Train	5/25/2018	9/27/2018	Major Misconduct- Other Crimes on Amtrak Facilities
HQ-18-0335-HL-O		6/11/2018	11/8/2018	Major Misconduct- Non Criminal Complaint

PA-18-0365-HI-P	et. al	7/6/2018	8/22/2018	Major Misconduct- Violation of Amtrak Policy
MA-18-0382-P	et. al.	7/16/2018	12/20/2018	Major Misconduct- Purchase Card Fraud; Major Misconduct- Theft/Embezzlement
MA-18-0384-P		7/25/2018	10/23/2018	Major Misconduct- Abuse of Position; Major Misconduct- Conflict of Interest
CA-18-0411-P		8/6/2018	8/23/2018	Health Care- Fraudulent Medical Billings
HQ-18-0439-P	Alleged Retaliation for OIG Disclosure	8/28/2018	10/24/2018	Major Misconduct- Non Criminal Complaint
CC-18-0469-P	APD Cell Phone Assistance Cell Phone Recordings in Men's Bathroom at 30th Street Station, Philadelphia PA	9/12/2018	10/22/2018	Major Misconduct- Other Crimes on Amtrak Facilities
PA-19-0015-P		10/10/2018	11/30/2018	Major Misconduct- Conflict of Interest
IL-19-0054-P	et. al.	11/2/2018	12/19/2018	Major Misconduct- Bribery; Major Misconduct- Conflict of Interest

Total Records: 100